## Information Theory as a Unified Tool for Understanding and Designing Human-Computer Interaction



**Committee:** 



#Bayesian #ComputationalInteraction #CoAdaptation

erc

PARIS CICCO UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ UNIVERSITÉ

#MutualInformation

ex)situ

#HumanComputerInteraction

### Because human-computer interaction studies

a human

## Because human-computer interaction studies

a human



## Because human-computer interaction studies a human and a machine



## Because human-computer interaction studies a human and a machine





Computer

# Because human-computer interaction studies a human and a machine in communication,





Computer

## Because human-computer interaction studies a human and a machine in communication,



## Because human-computer interaction studies a human and a machine in **communication**, it draws from supporting knowledge on both the machine and the human side.











































A mathematical theory of communication (Shannon 1948)





Discrete random variable X:

$$\{x_1, x_2, \dots, x_n\}$$

$$P_1 P_2 \dots P_n$$





Discrete random variable X:

$$\{x_1, x_2, \dots, x_n\}$$

$$P_1 P_2 \dots P_n$$





Discrete random variable X:

$$\begin{cases} x_1, x_2, \dots, x_n \\ \bullet & \bullet \\ P_1 & P_2 & \dots & P_n \end{cases}$$

Information as entropy:  $H(X) = -\sum_{i=1}^{n} P_i \log_2 P_i$  $0 \le H(X) \le \log N$ 





Discrete random variable X:

$$\begin{cases} x_1, x_2, \dots, x_n \\ \bullet & \bullet \\ P_1 & P_2 & \dots & P_n \end{cases}$$

Information as entropy:  $H(X) = -\sum_{i=1}^{n} P_i \log_2 P_i$  $0 \le H(X) \le \log N$ 





Information as entropy:  

$$H(X) = -\sum_{i=1}^{n} P_i \log_2 P_i$$
  
 $0 \le H(X) \le \log N$ 



Not Just Pointing: Shannon's Information Theory as a General Tool for Performance Evaluation of Input Techniques (Guiard 2018)





Mathematics, statistics, computer science, physics, neurobiology, electrical engineering, statistical inference, natural language processing, cryptography, neurobiology, human vision, the evolution and function of molecular codes (bioinformatics), model selection in statistics, thermal physics, quantum computing, linguistics, plagiarism detection, pattern recognition, anomaly detection, gambling, music composition....

Source: https://en.wikipedia.org/wiki/Information\_theory





- Information Capacity of Motor Movement (Fitts)
- Information Capacity of Working Memory (Miller)

The Magical Number Seven, Plus or Minus Two (Miller 1956)



#### Background



#### **Choice-reaction time**



Helmholtz (1821-1894)



#### \* Choice-reaction time

#### Donders 1868:

First report of choicereaction times Blank 1934: A logarithmic relationship is mentioned Hick 1952 Hyman 1953

#### Merkel 1883:

Relationship between time and the number of stimuli (linear)

#### Shannon 1948: Information Theory



#### Choice-reaction time (Hick, Hyman)



Information theory of choice-reaction times (Laming 1968)









Morse keys

Pea lamps



On the rate of gain of gain of information (Hick 1952)





#### Choice-reaction time (Hick, Hyman)



Stimulus information as a determinant of reaction time (Hyman 1953)


### Choice-reaction time (Hick, Hyman, etc.)

	Hick	Hyman	Landauer &	Cockburn et al.	Soukoreff &	Mackenzie et al.	Wobbrock &
			Nachbar		Mackenzie		Myers
Task	Reaction	Reaction	VS	Decision	VS	VS	VS
Stimuli	Random	Random	Ordered	Random	Keyboard	Keyboard	Random
Participants	Well-trained	Well-trained	All users	Users starting	Novice users	Novice users	All users
			Į I	from block 2			
	Uniform	Non-uniform	Uniform		Uniform	Uniform	

• VS:Visual search.



### Choice-reaction time (Hick, Hyman, etc.)

	Hick	Hyman	Landauer &	Cockburn et al.	Soukoreff &	Mackenzie et al.	Wobbrock &
			Nachbar		Mackenzie		Myers
Task	Reaction	Reaction	VS	Decision	VS	VS	VS
Stimuli	Random	Random	Ordered	Random	Keyboard	Keyboard	Random
Participants	Well-trained	Well-trained	All users	Users starting	Novice users	Novice users	All users
				from block 2			
	Uniform	Non-uniform	Uniform	Zipfian	Uniform	Uniform	

• VS:Visual search.



### Implications for HCI: Effect size of Hick's law is insignificant





Hick's law in design

# Hick's Law: Making the choice easier for users

- Juggling Jam: Applying Hick's Law to Web Design
- Design principle: Hick's Law quick decision making
- Universal Principles of Design (Lidwell 2010)



### **Hick's law in design**



Number of options

### Hick's law in design

### Do not bombard users with choices







### **Hick's law in design**

### Always categorize choices





### Implications for HCI: Hick's law does not justify design "rules"



$$RT = a + b \log_2(512) = a + 9 \times b$$



### Implications for HCI: Hick's law does not justify design "rules"

N = 512



$$RT = 1/4 \left( \sum_{i=1}^{4} a \ i + b \ i \ \log_2(128) \right) = 10/4 \ a + 70/4 \ b$$



### Implications for HCI: Hick's law does not justify design "rules"

N = 512

 $RT = a + b \log_2(4) + a + b \log_2(128) = 2a + 9 \times b$ 



### Implications for HCI: Hick's law does not justify design "rules"



 $RT = a + b \log_2(512) = a + 9 \times b$ 



## Because human-computer interaction studies a human and a machine in **communication**, it draws from supporting knowledge on both the machine and the human side.



ACM SIGCHI Curriculum for human-computer interaction (2009)





### Part ii: Bayesian Information Gain (BIG)







### Computer

### 50





BIG

- To look for a restaurant
- To type a word
- To draw a gesture
- To select an icon
- To do something
- ....



1



### • Uncertainty about this something

BIG

• Uncertainty reduces gradually when receiving user input





BIG



### Experiment

# θ

**The Scientist** 



# x3

### Observation



On a Measure of the Information Provided by an Experiment (Lindley 1956)



### Experiment

# θ

The computer









On a Measure of the Information Provided by an Experiment (Lindley 1956)

95(

- Executes the user input only Multiscale navigation
- Maximizes the expected information gain  $IG(\Theta|X = x, Y)$  BIGnav
- Leverages the expected information gain **BIGFile**



2017 Bayesian Information Gain

1950

94











$$P(\Theta = \theta_i)$$



### **Bayesian Information Gain**







### \* The computer's Uncertainty about the user's goal

$$H(\Theta) = -\sum_{i=1}^{n} P(\Theta = \theta_i) \log_2 P(\Theta = \theta_i)$$









### **Bayesian Information Gain**











### **Bayesian Information Gain**







**View** X = x

$$P(Y = y | \Theta = \theta, X = x)$$





$$P(\Theta = \theta_i)$$
  
User Input  $Y = y$ 



#### **Bayesian Information Gain**







**View** X = x

$$P(\Theta = \theta | X = x, Y = y)$$





$$P(\Theta = \theta_i)$$
  
User Input  $Y = y$ 



### **Bayesian Information Gain**





### \* The computer's Uncertainty about the user's goal

$$H(\Theta) = -\sum_{i=1}^{n} P(\Theta = \theta_i) \log_2 P(\Theta = \theta_i)$$

**BIGnav** 

\* The computer's updated knowledge about the user's goal

$$P(\Theta = \theta | X = x, Y = y) = \frac{P(Y = y | \Theta = \theta, X = x)P(\Theta = \theta)}{P(Y = y | X = x)}$$



**BIGnav** 

### \* A calibration session to understand user behavior $P(Y = y | \Theta = \theta, X = x)$





### **BIGnav**

	R	esults	
Command	Main Region	Adjacent Regions	Other Regions
Pan	90%	8%	2%
Zoom	95%	1.25%	3.75%
Click	100%	0	0

71



### \* The computer's Uncertainty about the user's goal

$$H(\Theta) = -\sum_{i=1}^{n} P(\Theta = \theta_i) \log_2 P(\Theta = \theta_i)$$

**BIGnav** 

\* The computer's updated knowledge about the user's goal

$$P(\Theta = \theta | X = x, Y = y) = \frac{P(Y = y | \Theta = \theta, X = x)P(\Theta = \theta)}{P(Y = y | X = x)}$$

\* The information in the user's input for reducing the computer's uncertainty

$$IG(\Theta|X=x,Y=y) = H(\Theta) - H(\Theta|X=x,Y=y)$$




\* Each user input does not provide much information for the computer to know her goal







# Can we challenge users to give more information?



#### Experiment

#### Observation

**BIGnav** 

# The scientist optimizes the choice of the experiment by maximizing the expected utility

## The Scientist

On a Measure of the Information Provided by an Experiment (Lindley 1956)





#### The expected information gain



**Wanyu Liu**, Rafael Lucas D'Oliveira, Michel Beaudouin-Lafon, and Olivier Rioul. BIGnav: Bayesian Information Gain for Guiding Multiscale Navigation. (CHI '17).





Choose the feedback (a view) that maximizes the expected information gain from the user's subsequent input



**Wanyu Liu**, Rafael Lucas D'Oliveira, Michel Beaudouin-Lafon, and Olivier Rioul. BIGnav: Bayesian Information Gain for Guiding Multiscale Navigation. (CHI '17).





Go over all possible feedback, and find the one that maximizes the expected information gain  $IG(\Theta|X = x, Y) = H(\Theta) - H(\Theta|X = x, Y)$ 



**Wanyu Liu**, Rafael Lucas D'Oliveira, Michel Beaudouin-Lafon, and Olivier Rioul. BIGnav: Bayesian Information Gain for Guiding Multiscale Navigation. (CHI '17).



1950











#### **BIGnav** gains maximum information from each user input





#### **BIGnav** gains maximum information from each user input





#### **BIGnav** gains maximum information from each user input





A map application - "3 steps to go to Paris".

Europe map featuring large cities with their population as distribution.



A map application - "Navigate to Helsinki".

Europe map featuring large cities with their population as distribution.



#### **Full factorial within-participant design:**

## 16 Participant

**BIGnav** 

#### x 2 Navigation Technique

## x 5 Index of Difficulty x 6 Distribution

## x 5 Replication

## \* Technique: BIGnav, STDnav

#### **Index of Difficulty: 10, 15, 20, 25, 30**



#### The further the target is located, the better **BIGnav** performs





Trajectory in multiscale worlds.
Though being more efficient, BIGnav incurs a higher cognitive load



**BIGnav** 

88



#### **Experiment Summary**

#### **BIGnav** is up to 40% faster than STDnav

Half of the participants preferred **BIGnav** for being efficient and interactive

The other half favored STDnav for being comfortable and intuitive



\* Leverages the expected information gain  $IG(\Theta|X = x, Y)$ 



**BIGFile** 



**Wanyu Liu**, Olivier Rioul, Joanna McGrenere, Wendy Mackay, and Michel Beaudouin-Lafon. BIGFile: Bayesian Information Gain for Fast File Retrieval. (CHI '18).

	BIGFile					
Back						
CV	Apr 5, 2017, 2:02pm					
eBooks	Apr 5, 2017, 2:02pm					
Finances	Apr 5, 2017, 2:02pm					
E House	Apr 5, 2017, 2:02pm					
Micellaneous	Apr 5, 2017, 2:02pm					
Papers Papers	Apr 5, 2017, 2:02pm					
Programming	Apr 5, 2017, 2:02pm					
Spreadsheets	Apr 5, 2017, 2:02pm					
Thesis	Apr 5, 2017, 2:02pm					
Writing	Apr 5, 2017, 2:02pm					

BIGE	File
------	------

	• •	BIGFile	
<			
Bac	-k		
	Geography > 📄 Islands > 📄 Tropical > 📄 Touristic >	🛛 📄 Large > 📄 Hawaii	
	Food > 📄 Dairy > 📄 Cheese		
	History > 📃 Inventions		Estimated shortcuts
	Education > Curriculum > Masters > German		
	Geography	Apr 5, 2017, 2:02pm	
	Animals	Apr 5, 2017, 2:02pm	
	Computing	Apr 5, 2017, 2:02pm	
	Food	Apr 5, 2017, 2:02pm	
	Transport	Apr 5, 2017, 2:02pm	
	Health	Apr 5, 2017, 2:02pm	
	Entertainment	Apr 5, 2017, 2:02pm	The usual hierarchy
	History	Apr 5, 2017, 2:02pm	
	Plants	Apr 5, 2017, 2:02pm	
	People	Apr 5, 2017, 2:02pm	
	House & Home	Apr 5, 2017, 2:02pm	
	Education	Apr 5, 2017, 2:02pm	
	Budget	Apr 5, 2017, 2:02pm	60k
	Essay	Apr 5, 2017, 2:02pm	60k
-	Paper	Apr 5, 2017, 2:02pm	60k
	Article	Apr 5, 2017, 2:02pm	60k
	Fireman	Apr 5, 2017, 2:02pm	60k
	Building	Apr 5, 2017, 2:02pm	60k
	Watch	Apr 5, 2017, 2:02pm	60k
	Plan	Apr 5, 2017, 2:02pm	60k
	Footstep	Apr 5, 2017, 2:02pm	60k
	Camera	Apr 5, 2017, 2:02pm	60k
-	Cardboard	Apr 5, 2017, 2:02pm	60k
	Photo	Apr 5, 2017, 2:02pm	60k
-	Brick	Apr 5, 2017, 2:02pm	60k

Health	Apr 5, 2017, 2:02pm					
Entertainment Having (	direct access to the target					
History	Apr 5, 2017, 2:02pm					







AccessRank: predicting what users will do next (Fitchett & Cockburn 2012)

**BIGFile** 



#### **BIGFile**

#### **BIGFile** saves time to retrieve a file



<sup>•</sup> Only data from the second session is shown here.



#### **Both BIGFile and ARFile are preferred by participants**



**BIGFile** 

• NASATLX scores: lower is better.





#### **Experiment Summary**

**BIGFile** is up to 44% faster than ARFile and 64% faster than Finder



**BIGFile** 

#### **Experiment Summary**

## Both **BIGFile** and **ARFile** are preferred by participants



• Overall preference: higher is better.

95(

- Executes the user input only Multiscale navigation
- Maximizes the expected information gain  $IG(\Theta|X = x, Y)$  BIGnav
- Leverages the expected information gain **BIGFile**







#### Interface

Time, errors

->



User

Computer



# **Drawback I: Speed-accuracy tradeoff**



# **Solution: Control errors**



# **Solution: Control errors**

Control error rate under 4 %, such as pointing, text entry, etc.



# **Solution: Control errors**

Control error rate under 4 %, such as pointing, text entry, etc.

Remove errors from data analysis.



Semantic



Model of visual search and selection time in linear menus. (Bailly 2014)

105



## **Drawback 2: The treatment of errors**



1	2	3	4

107












Error rate: 2 / 8 = 25 %







Error rate: 2 / 8 = 25 %



Error rate: 2 / 8 = 25 %



# Because human-computer interaction studies a human and a machine in **communication**, it draws from supporting knowledge on both the machine and the human side.



ACM SIGCHI Curriculum for human-computer interaction (2009)



#### User

Computer

# Part iii: Information-theoretic Measures



User

Computer



X:A set of all possible messages that a user can transmit, representing the intended inputs.

X takes values in
 I
 2
 3
 4

 
$$x_1$$
 $x_2$ 
 $x_3$ 
 $x_4$ 



P(X): The probability distribution of the intended inputs.

 X takes values in
 I
 2
 3
 4

  $p(x_1) p(x_2) p(x_3) p(x_4)$ 



Input entropy: How much information could be transmitted. Corresponding to input size and the probability distribution.

$$H(X) = -\sum_{i=1}^{n} P_i \log_2 P_i$$



#### Y:The actual input received by the computer.



#### Y: The actual input received by the computer.





I(X;Y): Mutual information between the intended input and the actual input. It describes how much information actually gets transmitted.

I(X;Y) = H(X) - H(X|Y)



I(X;Y): Mutual information between the intended input and the actual input. It describes how much information actually gets transmitted.

$$I \quad 2 \quad 3 \quad 4$$

$$I \quad I \quad 2 \quad 2 \quad 3 \quad 3 \quad 3 \quad 3$$

$$I(X;Y) = \sum_{x} \sum_{y} P(X = x, Y = y) \log_2 \frac{P(X = x, Y = y)}{P(X = x)P(Y = y)}$$



I(X;Y): Mutual information between the intended input and the actual input. It describes how much information actually gets transmitted.

$$I = 2 = 3 = 4$$

$$I = 1 = 2 = 2 = 3 = 3 = 3 = 3$$

$$I(X;Y) = 1.5 \text{ bits}$$





TP = I(X;Y)/T = 1.5/1.5 = 1 bit / s





TP = I(X;Y)/T = H(X)/T = 2/1.5 = 1.3 bit / s



## Advantage I:

#### Standard language to describe interaction



# Standard language to describe interaction

H(X): how much information could be transmitted.

I(X;Y): how much information actually gets transmitted.

H(X|Y): how much information gets lost, related to how users make errors.

TP: information transmission efficiency.



# Advantage 2:











	H(X)	I(X; Y)	H(X Y)
Time			
Input size			
Distribution			
Error rate			



	H(X)	I(X; Y)	H(X Y)	TΡ <sub>i</sub>
Time				
Input size				
Distribution				
Error rate				



# Advantage 2:

#### More consistent measure

	H(X)	I( <b>X</b> ; <b>Y</b> )	H(X Y)	TPi	TPm
Time					
Input size					
Distribution					
Error rate					

 $TP_m = ID/MT$ 

 $MT = a + b \times ID$ 

Fitts' throughput and the speed-accuracy tradeoff (Mackenzie 2008)



# Advantage 2:

#### More consistent measure



 $TP_z = 1/b$ 

 $MT = a + b \times ID$ 

Evaluation of mouse, rate-controlled isometric joystick, step keys, and text keys for text selection on a CRT (Card 1978) Characterizing computer input with Fitts' law parameters - the information and non-information aspects of pointing (Zhai 2004)



# Advantage 2:

	H(X)	I(X; Y)	H(X Y)	TΡi	TPm	$TP_z$
Time 🗡						
Input size						
Distribution						
Error rate						



# Advantage 2:

	H(X)	I(X; Y)	H(X Y)	TΡi	TPm	$TP_z$
Time 🗡	_	—	—	1		
Input size						
Distribution						
Error rate						



# Advantage 2:

	H(X)	I(X; Y)	H(X Y)	TPi	TPm	$TP_z$
Time 🗡	_	_	—	$\searrow$	$\checkmark$	-
Input size						
Distribution						
Error rate						



# Advantage 2:

	H(X)	I(X; Y)	H(X Y)	TPi	TPm	$TP_z$
Time	_	—	_	$\searrow$	$\nearrow$	-
Input size	7	7	_	~	$\nearrow$	-
Distribution	$\searrow$	$\searrow$	_	$\searrow$	—	—
Error rate	_	$\searrow$	7	$\searrow$	$\searrow$	-



# Advantage 3:

## Speed-accuracy tradeoff



# Advantage 3:

# **Speed-accuracy tradeoff**





#### Advantage 4:

# Equivocation provides information about

#### how users make errors





The error random variable:

$$E = \begin{cases} 0 & \text{if } X = Y; \\ 1 & \text{if } X \neq Y. \end{cases}$$

The error rate:

$$P_e = P(X \neq Y)$$



```
The error rate P_e has binary entropy:
```

$$H(E) = -P_e \log_2 P_e - (1 - P_e) \log_2 (1 - P_e)$$





$$I(X;Y) = H(X) - H(X|Y)$$
$$H(X|Y) \le H(E) + P_e \times H(Z|E = 1)$$
Fano's inequality.

[Theorem 2.10.1] Elements of information theory. Cover, T. M., & Thomas, J.A. (2012).





$$I(X;Y) = H(X) - H(X|Y)$$
$$H(X|Y) \le H(E) + P_e \times H(Z|E = 1)$$

The fact that users make errors. At most I bit.












# **Information-theoretic Measures**

Wanyu Liu, Olivier Rioul, Michel Beaudouin-Lafon, and Yves Guiard.
Information-Theoretic Analysis of Human Performance for Command Selection. (INTERACT '17).
Wanyu Liu, Antti Oulasvirta, Olivier Rioul, Michel Beaudouin-Lafon, and Yves Guiard.
Information-theoretic Measures for Characterizing Interaction (TOCHI '19) [under preparation]

#### Contribution





## \* Other "BIG" applications

Bayesian Information Gain for Guiding Design Exploration (in collaboration with Luana Micallef, University of Copenhagen)

BIGnote: Bayesian Information Gain for Collaborative Music Composition (came up with the idea during lucid dreaming) August 10, 2018, 12:46 AM



# \* Other "BIG" applications

## **Refine "BIG" framework**

Model real user behavior  $P(Y = y | \Theta = \theta, X = x)$ User independent Machine learning Shared control Maximize other utility function



- \* Other "BIG" applications
- **Refine "BIG" framework**

## \* Information-theoretic measures for other interaction tasks

Continuous input Taking advantage of equivocation



### Main publications



**Wanyu Liu**, Rafael Lucas D'Oliveira, Michel Beaudouin-Lafon, and Olivier Rioul. BIGnav: Bayesian Information Gain for Guiding Multiscale Navigation. (CHI '17).

**Wanyu Liu**, Olivier Rioul, Michel Beaudouin-Lafon, and Yves Guiard. Information-Theoretic Analysis of Human Performance for Command Selection. (INTERACT '17).



**Wanyu Liu**, Olivier Rioul, Joanna McGrenere, Wendy Mackay, and Michel Beaudouin-Lafon. BIGFile: Bayesian Information Gain for Fast File Retrieval. (CHI '18).







## Thank you everyone!

Merci à tous!

# CON n RT D'ABBY

**ABBY: WIENER BLUT OP.354 OLIVIER: WIND CRIES ABBY** & MONEY FOR NOTHING (I WANT MY PHD) **MICHEL: SURPRISE YVES: FEELINGS DE MORRIS ALBERT & UNE BLUES BRUNO: JAZZ IMPROVISATION JEAN-PHILIPPE: JAZZ IMPROVISATION** TÉO: BACH À LA JAZZ **ABBY: LES PATINEURS OP.183 PLUS DE SURPRISES SUR PLACE** 

LE CONCERT SERA SUIVI PAR UNE SOIRÉE DE DANSE: LA VALSE VIENNOISE, LE TANGO ARGENTIN, LA SALSA, LE FOXTROT, LE DISCOFOX, LA BACHATA, LE ROCK, ETC. **20H30** LE 22 NOVEMBRE 2018 **LA POMME D'EVE** 1 RUE LAPLACE, 75005 PARIS

**DRESSCODE:** FORMEL

#### **Concert party**



#### Prediction algorithm: AccessRank

$$w_n = w_{m_n}{}^{\alpha} w_{crf_n}{}^{\frac{1}{\alpha}} w_{t_n}$$



#### Prediction algorithm: AccessRank

$$w_n = w_{m_n}^{\alpha} w_{crf_n}^{\frac{1}{\alpha}} w_{t_n}$$
  
A Markov chain model



#### Prediction algorithm: AccessRank

$$w_n = w_{m_n}^{\alpha} w_{crf_n}^{\frac{1}{\alpha}} w_{t_n}$$

A combined recency and frequency model



#### Prediction algorithm: AccessRank

$$w_n = w_{m_n}^{\alpha} w_{crf_n}^{\frac{1}{\alpha}} w_{t_n}$$

A time weighting model